

PLAINTIFF	COURT CASE NUMBER
UNITED STATES OF AMERICA	07 Civ. 4430 (KMK)
DEFENDANT	TYPE OF PROCESS
Up to \$6,100,000 on Deposit in Account...	Publication
SERVE	NAME OF INDIVIDUAL, COMPANY, CORPORATION, ETC., TO SERVE OR DESCRIPTION OF PROPERTY TO SEIZE OR CONDEMN
►	USMS - SDNY
AT	500 Pearl Street, New York, New York 10007
SEND NOTICE OF SERVICE COPY TO REQUESTER AT NAME AND ADDRESS BELOW:	
MICHAEL J. GARCIA, U.S. ATTORNEY - SDNY UNITED STATES ATTORNEY'S OFFICE SOUTHERN DISTRICT OF NEW YORK	
ONE SAINT ANDREW'S PLAZA NEW YORK, NY 10007-1703 ATTENTION: Abigail Seda	
SPECIAL INSTRUCTIONS OR OTHER INFORMATION THAT WILL ASSIST IN EXPEDITING SERVER (Include Business and Alternate Addresses, All Telephone Numbers, and Estimated Times Available For Server): <u>Fold</u>	
Please publish the following notice of publication in a newspaper of general circulation for three consecutive weeks.	

CATS # 07-FBI-003574, 003576	Please return to Abigail Seda, 212-637-2559		
Signature of Attorney or other Originator requesting service on behalf of:	<input checked="" type="checkbox"/> PLAINTIFF	TELEPHONE NUMBER	DATE
AUSA BARBARA WARD	<input type="checkbox"/> DEFENDANT	212-637-1048	7/6/07

SPACE BELOW FOR USE OF U.S. MARSHAL ONLY - DO NOT WRITE BELOW THIS LINE					
I acknowledge receipt for the total number of process indicated. (Sign only first USM 285 if more than one USM 285 is submitted)	Total Process	District of Origin No. 54	District to Serve No. 54	Signature of Authorized USMS Deputy or Clerk <i>Barbara M. Ward</i>	Date 7-11-07
I hereby certify and return that I <input type="checkbox"/> have personally served, <input type="checkbox"/> have legal evidence of service, <input checked="" type="checkbox"/> have executed as shown in "Remarks", the process described on the individual, company, corporation, etc., at the address shown above or on the individual, company, corporation, etc., shown at the address inserted below.					
<input type="checkbox"/> I hereby certify and return that I am unable to locate the individual, company, corporation, etc., named above (See remarks below)					
Name and title of individual served (if not shown above)			A person of suitable age and disposition then residing in the defendant's usual place of abode.		
Address (complete only if different than shown above)			Date of Service 9/11/07 Time am <i>Barbara M. Ward</i>		
Service Fee	Total Mileage Charges (including endeavors)	Forwarding Fee	Total Charges	Advance Deposits	Amount owed to U.S. Marshal Amount of Refund
REMARKS: 9/11/07 - Notice was published in the New York Law Journal on July 20, July 27, & August 3, 2007 (copy attached)					

U.S. ATTORNEY COPY

STATE OF NEW YORK

County of New York, S:

MISCELLANEOUS

USA-33s-100-
NOTICE OF CIVIL
FORFEITURE
PROCEEDING Rev. 11/04

UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF NEW YORK — On May 30, 2007, the United States of America commenced a civil action demanding forfeiture thereof under the provisions of 18 U.S.C. §§981(a)(1)(C) and 984, as property derived from proceeds traceable to fraud in the sale of securities, in violation of 15 U.S.C. §§78j(b) and 78ff and C.F.R. §240.10b-5 and 240.105-2, against Up to \$6,100,000 on Deposit in Account No. 15.5876 at Bank Julius Baer Co. Ltd (Guernsey Branch); and Up to \$3,651,339 on Deposit in Account No. 15.5568 at Bank Julius Baer Co. Ltd (Guernsey Branch), 07 Civ. 4430 (KMK).

Notice is hereby given that all persons claiming the same or knowing or having anything to say why the same should not be forfeited pursuant to the prayer of said complaint, must file their claim in accordance with Rule G from the Supplemental Rules for Admiralty or Maritime Claims and Asset Forfeiture Actions with the Clerk of the Court, Room 120, United States Court House, 500 Pearl Street, New York, New York, by September 04, 2007, which is 30 days after the last publication of this action or within such additional time as may be allowed by the Court, and must serve their answers within 20 days after the filing of their claims, or default and forfeiture will be ordered. Any person with an interest in the property may also wish to file a petition for remission or mitigation of the forfeiture, as provided for in Title 28, Code of Federal regulations, and failure to file such a petition may affect any rights that a person claiming an interest in the property may have with respect to this property.

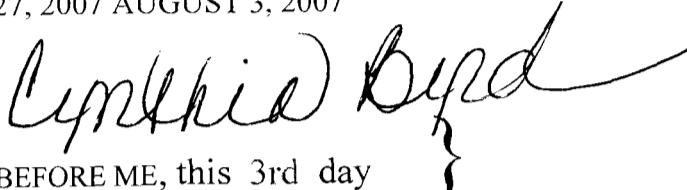
Dated: New York, New York July, 2007

MICHAEL J. GARCIA
U.S. Attorney/SDNY

JOSEPH R. GUCCIONE
U.S. Marshal/SDNY
863291 jy20-F au3

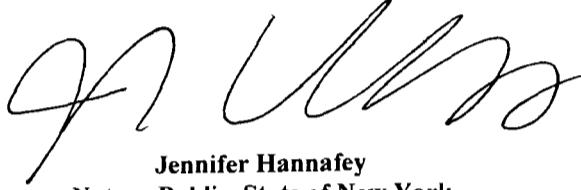
Cynthia Byrd, being duly sworn, says that she is the PRINCIPAL CLERK of the Publisher of the NEW YORK LAW JOURNAL, a Daily Newspaper; that the Advertisement hereto annexed has been published in the said NEW YORK LAW JOURNAL in each week for 3 successive weeks, commencing on the 20th day of July, 2007.

TO WIT: JULY 20, 27, 2007 AUGUST 3, 2007



SWORN TO BEFORE ME, this 3rd day

Of August, 2007.



Jennifer Hannafey
Notary Public, State of New York
No. 01ha6128042
Qualified in Richmond County
Commission Expires June 09, 2009

2007-07-27
2007-08-03
2007-08-10

DEPARTMENT OF
CIVIL FORFEITURE